

ED WHITFIELD
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HAND
DELIVERED

COMMITTEE ON
ENERGY AND COMMERCE
SUBCOMMITTEES:
ENERGY AND ENVIRONMENT
HEALTH
COMMERCE, TRADE, AND
CONSUMER PROTECTION

Congress of the United States
House of Representatives
Washington, DC 20515-1701
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

10 JUN -9 PM 1:36

June 7, 2010

The Honorable Lorraine C. Miller
Clerk
U.S. House of Representatives
B106 Cannon House Office Building
Washington, D.C. 20515

✓
MC

Dear Ms. Miller:

Schedule IV of my 2009 Financial Disclosure Statement, filed with your office May 14, 2010, indicated the sale of holdings in Citrix Systems Inc., Payless Shoes, and United Technologies. It also indicated the receipt of capital gains in excess of two hundred dollars (\$200) for each transaction. Schedule III also noted the sale of the above listed transactions and the receipt of dividends; however, it failed to note the receipt of capital gains. Therefore, I am filing an amended Schedule III to clarify the receipt of the capital gains consistent with what was already reported in Schedule IV.

I appreciate the opportunity to amend the Statement. Please contact me with any questions or ask your staff to speak with John Sparkman in my office.

Sincerely,



Ed Whitfield
Member of Congress

EW:hl
Enclosure

DISTRICT OFFICES:

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UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 0 of 0

**HAND
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U.S. HOUSE OF REPRESENTATIVES

Wayne Edward Whitfield

(Full Name)

202-225-3115

(Daytime Telephone)

2010 JUN - 9 PM 1:36

OFFICE (Office Use Only)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: KY District: 01	<input type="checkbox"/> Officer Or Employee Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
Report Type	<input type="checkbox"/> Annual (May 15)	<input checked="" type="checkbox"/> Amendment Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source	Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.</p> <p>Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.</p>	<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.</p>	<p>For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Abbott Lab	\$1,001 - \$15,000	Dividends	\$1 - \$200	P
Adobe Systems	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Apple Inc.	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
AT&T	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P
Berkshire Hathaway - B	\$15,001 - \$50,000	None	NONE	P
California St 4.5% 18	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

California St 4% 16	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Chevron Stock	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	
Cisco	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Citrix Systems Inc.	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
Coca-Cola	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Congressional Credit Union	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
CSX Corp. Bond	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
CVS Caremark	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
DMC Green	\$15,001 - \$50,000	None	NONE	P
Duke Energy	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Ebay Inc	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Exxon	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
Fidelity Blue Chip Fund	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$1,001 - \$2,500	
Google	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield				
	Hewlett Packard	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500 P
	Intel	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
	International Business	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500
	Johnson and Johnson	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200 P
	Los Angeles County 4% 11	None	INTEREST	\$201 - \$1,000 S
	Los Angeles County 4% 14	None	INTEREST	\$201 - \$1,000 S
	Los Angeles County 5% 15	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000
SP	Manulife Financial	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
	Marshall Isley Bank	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000
	May Department	\$15,001 - \$50,000	DIVIDENDS	\$5,001 - \$15,000
	McDonalds	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000
	Metropolitan Water 4% 12	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000
	Monsanto	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000 P
	Nokia	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Wayne Edward Whitfield

Northwestern Annuity	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Old National Bank Building 1027 Indianapolis Road Green Castle, IN 46135	\$500,001 - \$1,000,000	RENT	\$50,001 - \$100,000	
Payless Shoes	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
PepsiCo Inc	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Pfizer	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Proctor and Gamble	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Qualcomm Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Sacramento County Municipal	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
Schering-Plough	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
Schwab Account Cash	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	
SPDR Gold TR	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Statoil Hydro ASA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
United Bank	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
United Bank DC	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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United Technologies	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S	
Vacant Lot - Greenbrier - Sporting Club, Travelers Hill, White Sulph Springs, WV, Lot 26	\$100,001 - \$250,000	None	NONE		
Vanguard S&P 500 Fund	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000		
Vanguard Tax Exempt	\$50,001 - \$100,000	None	NONE	P	
Walt Disney Co.	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
Washington First	\$15,001 - \$50,000	None	NONE	P	
Wells Fargo	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000		
White Oak Aoc. Growth Fund	\$1,001 - \$15,000	None	NONE		
William Blair Growth Fund	\$1,001 - \$15,000	None	NONE		